

Amendment and Response  
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Date Filed: June 25, 1996  
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**Rejection of Claims 18, 20-37 and 60-65 Under 35 U.S.C. §112, First Paragraph**

Claims 18, 20-37 and 60-65 stand rejected under 35 U.S.C. §112, first paragraph, on the grounds that these claims contain subject matter which is not described in the specification in such a way as to enable one skilled in the art to practice the invention. Applicants again respectfully traverse these rejections.

As in the previous Office Action and Applicants' previous Response, there are six general points at issue, and Applicants wish to respond point by point:

1. The Office Action objects that "the claims recite no 'specific' receptor to be inhibited, nor do they recite structurally defined components to practice the instant invention, in which each G-protein receptor dysfunction characterizes its own unique disease state . . . Therefore, the claims fail to specify how the skilled artisan knows when they have successfully practiced the instant invention, without requiring undue experimentation to discover how to make and use Applicants' invention."

First, Applicants note that claims 25-27 (D1 dopamine receptor), 30-31 ( $\beta 1$ -adrenergic receptor), and 33-34 ( $\alpha 1A$ -adrenergic receptor), as well as the claims which are dependent on these claims, do in fact recite a "specific receptor" to be inhibited and do, in fact, recite "structurally defined components" in the form of amino acid sequences. Furthermore, claims 28, 32, and 35 further limit these claims by reciting the specific disorders which are treated. Applicants respectfully submit that it is well known in the art how to synthesize and administer specific polypeptides, as well as how to determine when these receptors are being inhibited, or these disorders are being beneficially treated. Therefore, Applicants respectfully submit that, at least with respect to these claims, the subject matter is fully enabled and the rejection should be withdrawn.

Moreover, as embodied in these claims, the specification discloses examples of methods relating to a multiplicity of different integral membrane proteins (e.g., G protein coupled receptors such as dopamine receptors, adrenergic receptors, etc.), and a multiplicity of different

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antagonist peptides (e.g., the peptides recited in claims 25-27, 30-31, and 33-34), which can be used to treat a multiplicity of different disorders for which administration of an antagonist is indicated (e.g., the disorders recited in claims 28, 32, and 35). Each of these examples, however, relates to more general methods which are enabled by the specification. Therefore, in light of the disclosure of these multiple examples or species, Applicants respectfully submit that the specification enables the broader claims, reciting for example the genus of integral membrane proteins (e.g., claim 18) or the genus of G-protein coupled receptors (e.g., claim 22), or reciting that the antagonist peptide consists essentially of at least four consecutive amino acid residues from at least one transmembrane domain of the integral membrane protein (or a conservative substitution variant thereof), or reciting generally that the disorder is one for which administration of an antagonist of the integral membrane protein is indicated. Thus, Applicants respectfully submit that the rejections under 35 U.S.C. §112, first paragraph, based on these considerations should be withdrawn.

2. The Office Action objects, with respect to claims 18, 21-23, 29-30, and 33, that the "specification is clearly deficient in providing sufficient guidance for knowing how to effect measurable phenotype as it relates to generic adrenergic receptors, as currently claimed, without requiring undue experimentation." Again, Applicants must respectfully disagree. The methods of the invention are to be used to treat disorders for which administration of an integral membrane protein is indicated. Such disorders are known in the art, and include those which are specifically disclosed in the specification. For each such disorder, the integral membrane protein must, by definition, be known in order for administration of an antagonist to be indicated. For each such integral membrane protein, the transmembrane domains will either be known, or may be easily determined without undue experimentation. For adrenergic receptors, there are methods, known in the art, for measuring inhibition of receptor activity (i.e., effecting measurable phenotype) either directly or indirectly. Thus, with respect to any given adrenergic receptor, and in light of the teachings of the specification, Applicants respectfully submit that one of ordinary skill in the art is enabled to choose an antagonist peptide consisting essentially of at

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least four consecutive amino acid residues from a transmembrane domain of that adrenergic receptor (or a conservative substitution variant thereof), and to administer that peptide to treat a disorder for which administration of an antagonist of that adrenergic receptor is indicated. Moreover, the specification provides several examples of the practice of such methods. Thus, Applicants respectfully submit that the rejections under 35 U.S.C. §112, first paragraph, based on these considerations should be withdrawn.

3. The Office Action objects that the claims do not "recite what structurally constitutes 'an antagonist...', nor what disorder or symptom is to be treated, each with their own unique etiology, nor when the skilled artisan knows when, where or what 'is indicated', because no such recitation is claimed so that the skilled artisan knows when they are in possession of the necessary components to practice the instant invention; thereby, requiring undue experimentation." Applicants are uncertain as to the meaning of this passage.

The term "antagonist" describes a molecule with a particular functional characteristic: It inhibits, opposes, or impedes the activity or functioning of some reference molecule. Thus, for example, an adrenergic receptor antagonist inhibits the activity of an adrenergic receptor. The structure of antagonists undoubtedly determines their ability to function as antagonists, but the term "antagonist" is to be understood functionally. Therefore, it is not clear why the Office Action objects that the claims do not "recite structurally what constitutes 'an antagonist'." With respect to the practice of the methods of the invention, it may be practiced whenever administration of an antagonist, understood functionally, is indicated. With respect to the antagonist peptides of the invention, they are indeed defined structurally, as consisting essentially of at least four consecutive amino acid residues from a transmembrane domain of the relevant integral membrane protein (or a conservative substitution variant thereof).

With respect to what disorders or symptoms are to be treated, and what "is indicated", Applicants note that the term "indication," and the phrase "is indicated," have special meanings in the medical arts. For example, an "indication" is defined as the "basis for initiation of a treatment for a disease or of a diagnostic test [which] may be furnished by a knowledge of the

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cause ..., by the symptoms present ..., or by the nature of the disease ..." (*Stedman's Medical Dictionary*, 26th Edition, Williams Wilkins, Baltimore, MD, 1995). Thus, administration of an antagonist of an integral membrane protein *is indicated* whenever there is basis for initiating treatment with such an antagonist. Such indications are well known in the art. Merely as an example, the entry for the  $\beta$ -adrenergic blocking agent (i.e., antagonist) COREG® in the *Physician's Desk Reference*, 53rd Edition, Medical Economics Company, Inc., Montvale, NJ, 1999 (copy attached), states under the heading "INDICATIONS AND USAGE" and subheading "Hypertension" that "Coreg (carvediol) is also indicated for the management of essential hypertension." *The Physician's Desk Reference* and the medical literature are teeming with such examples.

Therefore, Applicants respectfully submit that one of ordinary skill in the art will clearly know when administration of an antagonist of any given integral membrane protein is indicated, and will, in light of Applicants disclosure, be enabled to administer an antagonist peptide consisting essentially of at least four consecutive amino acid residues from a transmembrane domain of that protein (or a conservative substitution variant thereof). Thus, Applicants respectfully submit that the rejections under 35 U.S.C. §112, first paragraph, based on these considerations should be withdrawn.

4. The Office Action objects that "nowhere in the claims is there any recitation to indicate when such administration [of an antagonist of an EGF receptor to treat a neoplastic growth] 'is not indicated'; thereby encompassing all neoplastic growths . . . [that] the claims do not recite using any specific peptide to specifically 'inhibit growth' of any tumor" and that undue experimentation would be required to practice the invention. As noted above, the medical literature is full of examples of indications for administering antagonists of various integral membrane proteins, including EGF receptors. With respect to reciting specific peptides, the claims recite that the peptide consists essentially of at least four consecutive amino acid residues from a transmembrane domain of the relevant integral membrane protein (or a conservative

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substitution variant thereof). With respect to the comments relating to neoplastic growths and the inhibition of tumor growth, Applicants note again that the methods may be practiced to treat neoplastic growths for which administration of an EGF receptor antagonist is indicated, and that one of ordinary skill in the art can identify such indications without undue experimentation. Thus, Applicants respectfully submit that the rejections under 35 U.S.C. §112, first paragraph, based on these considerations should be withdrawn.

5. The Office Action objects that "the specification still provides contradictory evidence [relating to GABA receptors] on how to determine how and when to successfully practice the invention, without requiring undue experimentation [and that] the claims do not recite using any specific peptide to specifically 'inhibit GABA receptors' that effects any measurable phenotype." Again, the claimed invention is to be practiced whenever administration of an integral membrane protein antagonist is indicated, and such indications, including indications for the administration of GABA receptor agonists, are known in the art. Further, as before, the specific antagonist peptides are those which consist essentially of at least four consecutive amino acid residues from a transmembrane domain of the relevant integral membrane protein (or a conservative substitution variant thereof). Thus, Applicants respectfully submit that the rejections under 35 U.S.C. §112, first paragraph, based on these considerations should be withdrawn.

6. The Office Action objects that claims "the claims still fail to recite using any specific peptide to specifically 'inhibit dopamine and/or monoamine transporters' that effect any measurable cell type, disease state, or measurable phenotype" and therefore are not enabled. Again, Applicants point out that the specific peptides to inhibit any such transporter consist essentially of at least four consecutive amino acid residues from a transmembrane domain of the relevant transporter (or a conservative substitution variant thereof), and that methods of measuring the inhibition of such transporters are well known in the art. Thus, Applicants respectfully submit that the rejections under 35 U.S.C. §112, first paragraph, based on these considerations should be withdrawn.

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**Rejection of Claims 18-20, 27, and 36 Under 35 U.S.C. §112, Second Paragraph**

Claims 18, 20-37 and 60-65 stand rejected under 35 U.S.C. §112, second paragraph, as indefinite for reciting the phrases "administration of an antagonist . . . is indicated" and "consisting essentially of at least four consecutive residues".

The meaning of the phrase "is indicated" has been discussed extensively above. Applicants respectfully submit that the phrase is not indefinite and that its wide usage in the medical literature is indicative of the fact that one of ordinary skill in the art would understand its meaning. Thus, Applicants respectfully submit that the rejections under 35 U.S.C. §112, second paragraph, based on these considerations should be withdrawn.

With respect to the phrase "consisting essentially of at least four consecutive amino acid residues," Applicants draw the Examiner's attention to, for example, U.S. Pat. No. 5,298,599 (claim 5), U.S. Pat. No. 5,229,491 (claim 1), U.S. Pat. No. 5,229,286 (claim 1), U.S. Pat. No. 5,319,071 (claim 2), and U.S. Pat. No. 5,494,672 (claim 1). Each of these patents has claims drawn to peptides "consisting essentially of" some reference amino acid sequence, and provides evidence that this term has acquired a definite meaning within U.S. patent practice as it relates to claims involving polypeptides. In the present case, the reference sequence is any sequence of at least four consecutive amino acids from a transmembrane domain of the relevant integral membrane protein (or a conservative substitution variant thereof). A peptide which consists of fewer than four amino acid residues could not meet this definition. However, a peptide which consists in essence of four consecutive residues from such a transmembrane domain, but which includes additional residues at the N- and/or C-terminus which do not alter the essential function ~~of the peptide in the context of the invention, would fall within the scope of the definition.~~ Thus, in light of the accepted use of such claim language, Applicants respectfully submit that the rejections under 35 U.S.C. §112, second paragraph, based on these considerations should be withdrawn.

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## SUMMARY

Claims 18, 20-37 and 60-65 are pending in the application and remain under consideration. No amendments to the claims are made herein. A petition for a two-month extension of time for response, up to and including September 28, 1999, and an authorization to charge the necessary fee to Deposit Account No. 20-0531, are transmitted herewith. Applicants believe that no other fees are due at this time. In the event that additional fees are due, the Commissioner is hereby authorized to charge such fees to said account.

Applicants request that the Examiner reconsider the application in light of the foregoing remarks. If, in the Examiner's opinion, a telephonic interview would expedite the favorable prosecution of the present application, the undersigned attorney would welcome the opportunity to discuss any outstanding issues, and to work with the Examiner toward placing the application in condition for allowance.

Respectfully submitted,

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**Special Populations**

**Elderly:** Plasma levels of carvedilol average about 50% higher in the elderly compared to young subjects.

**Hepatic Impairment:** Compared to healthy subjects, patients with cirrhotic liver disease exhibit significantly higher concentrations of carvedilol (approximately 4 to 7-fold) following single-dose therapy (see WARNINGS, Hepatic Injury).

**Renal Insufficiency:** Although carvedilol is metabolized primarily by the liver, plasma concentrations of carvedilol have been reported to be increased in patients with renal impairment. Based on mean AUC data, approximately 40% to 50% higher plasma concentrations of carvedilol were observed in hypertensive patients with moderate to severe renal impairment compared to a control group of hypertensive patients with normal renal function. However, the ranges of AUC values were similar for both groups. Changes in mean peak plasma levels were less pronounced, approximately 12% to 26% higher in patients with impaired renal function.

Consistent with its high degree of plasma protein-binding, carvedilol does not appear to be cleared significantly by hemodialysis.

**Pharmacodynamics and Clinical Trials****Congestive Heart Failure****Pharmacodynamics**

The basis for the beneficial effects of Coreg (carvedilol) in congestive heart failure is not established.

Two placebo-controlled studies compared the acute hemodynamic effects of Coreg to baseline measurements in 59 and 49 patients with NYHA class II-IV heart failure receiving diuretics, ACE inhibitors, and digitalis. There were significant reductions in systemic blood pressure, pulmonary artery pressure, pulmonary capillary wedge pressure, and heart rate. Initial effects on cardiac output, stroke volume index, and systemic vascular resistance were small and variable.

These studies measured hemodynamic effects again at 12 to 14 weeks. Coreg significantly reduced systemic blood pressure, pulmonary artery pressure, right atrial pressure, systemic vascular resistance, and heart rate, while stroke volume index was increased.

Among 839 patients with NYHA class II-III heart failure treated for 26 to 52 weeks in 4 U.S. placebo-controlled trials, average left ventricular ejection fraction (EF) measured by radionuclide ventriculography increased by 8 EF units (%) in Coreg patients and by 2 EF units in placebo patients (between-group difference of 6 EF units). This treatment effect was nominally statistically significant in each trial.

**Hypertension****Pharmacodynamics**

The mechanism by which  $\beta$ -blockade produces an antihypertensive effect has not been established.

$\beta$ -adrenoceptor blocking activity has been demonstrated in animal and human studies showing that carvedilol (1) reduces cardiac output in normal subjects; (2) reduces exercise- and/or isoproterenol-induced tachycardia and (3) reduces reflex orthostatic tachycardia. Significant  $\beta$ -adrenoceptor blocking effect is usually seen within 1 hour of drug administration.

$\alpha_1$ -adrenoceptor blocking activity has been demonstrated in human and animal studies, showing that carvedilol (1) attenuates the pressor effects of phenylephrine; (2) causes vasodilation and (3) reduces peripheral vascular resistance. These effects contribute to the reduction of blood pressure and usually are seen within 30 minutes of drug administration.

Due to the  $\alpha_1$ -receptor blocking activity of carvedilol, blood pressure is lowered more in the standing than in the supine position, and symptoms of postural hypotension (1.8%), including rare instances of syncope, can occur. Following oral administration, when postural hypotension has occurred, it has been transient and is uncommon when Coreg (carvedilol) is administered with food at the recommended starting dose and titration increments are closely followed (see DOSAGE AND ADMINISTRATION).

In hypertensive patients with normal renal function, therapeutic doses of Coreg decreased renal vascular resistance with no change in glomerular filtration rate or renal plasma flow. Changes in excretion of sodium, potassium, uric acid and phosphorus in hypertensive patients with normal renal function were similar after Coreg and placebo.

Coreg has little effect on plasma catecholamines, plasma aldosterone or electrolyte levels, but it does significantly reduce plasma renin activity when given for at least 4 weeks. It also increases levels of atrial natriuretic peptide.

**CLINICAL TRIALS****Congestive Heart Failure**

Four U.S. multicenter, double-blind, placebo-controlled studies enrolled 1094 patients (696 randomized to carvedilol) with NYHA class II-III heart failure and ejection fraction <0.35. The vast majority were on digitalis, diuretics, and an ACE inhibitor at study entry. Patients were assigned to the studies based upon exercise ability. An Australia-

rolled 415 patients (half randomized to carvedilol) with less severe heart failure. All protocols excluded patients expected to undergo cardiac surgery during the 6 to 12 months of double-blind follow-up. All randomized patients had tolerated a 2-week course on carvedilol 6.25 mg b.i.d. In each study, there was a primary end-point, either progression of heart failure (one U.S. study) or exercise tolerance (2 U.S. studies meeting enrollment goals and the Australia-New Zealand study). There were many secondary end-points specified in these studies, including NYHA classification, patient and physician global assessments, and cardiovascular hospitalization. Death was not a specified end-point in any study, but it was analyzed in all studies. Other analyses not prospectively planned included the sum of deaths and total or cardiovascular hospitalizations. In situations where the primary end-points of a trial do not show a significant benefit of treatment, assignment of significance values to the other results is complex, and such values need to be interpreted cautiously.

The results of the U.S. and Australia-New Zealand trials were as follows:

**Slowing Progression of Heart Failure:** One U.S. multicenter study (366 subjects) had as its primary end-point the sum of cardiovascular mortality, cardiovascular hospitalization, and sustained increase in heart failure medications. Heart failure progression was reduced, during an average follow-up of 7 months, by 48% ( $p=0.008$ ).

In the Australia-New Zealand study, death and total hospitalizations were reduced by about 25% over 18 to 24 months. In the three largest U.S. studies, death and total hospitalizations were reduced by 19%, 39% and 49%, nominally statistically significant in the last two studies. The Australia-New Zealand results were statistically borderline.

**Functional Measures:** None of the multicenter studies had NYHA classification as a primary end-point, but all such studies had it as a secondary end-point. There was at least a trend toward improvement in NYHA class in all studies. Exercise tolerance was the primary end-point in 3 studies; in none was a statistically significant effect found.

**Subjective Measures:** Quality of life, as measured with a standard questionnaire (a primary end-point in one study), was unaffected by carvedilol. However, patients' and investigators' global assessments showed significant improvement in most studies.

**Mortality:** Mortality was not a planned end-point in any study. Overall, in the U.S. trials, mortality was reduced, nominally significantly so in 2 studies, but the actual effect size and statistical significance of this observation are difficult to define.

**Hypertension**

Coreg was studied in two placebo-controlled trials that utilized twice-daily dosing, at total daily doses of 12.5 to 50 mg. In these and other studies, the starting dose did not exceed 12.5 mg. At 50 mg per day, Coreg reduced sitting trough (12-hour) blood pressure by about 9/5.5 mm Hg; at 25 mg/day the effect was about 7.5/3.5 mm Hg. Comparisons of trough to peak blood pressure showed a trough to peak ratio for blood pressure response of about 65%. Heart rate fell by about 7.5 beats per minute at 50 mg/day. In general, as is true for other  $\beta$ -blockers, responses were smaller in black than non-black patients. There were no age- or gender-related differences in response.

The peak antihypertensive effect occurred 1 to 2 hours after a dose. The dose-related blood pressure response was accompanied by a dose-related increase in adverse effects (see ADVERSE REACTIONS).

**INDICATIONS AND USAGE****Congestive Heart Failure**

Coreg is indicated for the treatment of mild or moderate (NYHA class II or III) heart failure of ischemic or cardiomyopathic origin, in conjunction with digitalis, diuretics, and ACE inhibitor, to reduce the progression of disease as evidenced by cardiovascular death, cardiovascular hospitalization, or the need to adjust other heart failure medications.

Coreg may be used in patients unable to tolerate an ACE inhibitor. Coreg may be used in patients who are or are not receiving digitalis, hydralazine or nitrate therapy.

**Hypertension**

Coreg (carvedilol) is also indicated for the management of essential hypertension. It can be used alone or in combination with other antihypertensive agents, especially thiazide-type diuretics (see PRECAUTIONS, Drug Interactions).

**CONTRAINDICATIONS**

Coreg is contraindicated in patients with NYHA class IV decompensated cardiac failure requiring intravenous inotropic therapy, bronchial asthma (two cases of death from status asthmaticus have been reported in patients receiving single doses of Coreg) or related bronchoconstrictive conditions, second- or third-degree AV block, sick sinus syndrome (unless a permanent pacemaker is in place), cardiogenic shock or severe bradycardia.

Use of Coreg in patients with clinically manifest hepatic impairment is not recommended.

drug.

**WARNINGS**

**Hepatic Injury:** Mild hepatocellular injury, challenge, has occurred rarely with Coreg. In controlled studies of hypertensive patients, liver function abnormalities reported as adverse experiences was 1.1% (13 of 1,142 patients) in patients taking Coreg and 0.9% (4 of 462 patients) in those taking placebo. One patient receiving carvedilol in a controlled trial withdrew for abnormal hepatic function. In controlled studies of congestive heart failure, incidence of liver function abnormalities reported as adverse experiences was 5.0% (38 of 765 patients) among Coreg and 4.6% (20 of 437 patients) in those taking placebo. Three patients receiving carvedilol and 1 patient receiving placebo (0.5%) in placebo group withdrew for abnormal hepatic function. Hepatic injury has been reversible and has short- and/or long-term therapy with minimal hepatotoxicity. No deaths due to liver function have been reported.

At the first symptom/sign of liver dysfunction, dark urine, persistent anorexia, jaundice, right upper quadrant tenderness or unexplained "flu-like" symptoms, laboratory testing should be performed. If the laboratory evidence of liver injury or jaundice, coreg should be stopped and not restarted.

**Peripheral Vascular Disease:**  $\beta$ -blockers can aggravate symptoms of arterial insufficiency with peripheral vascular disease. Caution is advised in such individuals.

**Anesthesia and Major Surgery:** If Coreg is taken continued perioperatively, particular care should be taken when anesthetic agents which depress myocardium such as ether, cyclopropane and trichloroethylene. See OVERDOSAGE for information on treatment of cardio and hypertension.

**Diabetes and Hypoglycemia:**  $\beta$ -blockers may mask the manifestations of hypoglycemia, particularly in diabetics. Nonselective  $\beta$ -blockers may potentiate hypoglycemia and delay recovery of serum glucose. Patients subject to spontaneous hypoglycemia, patients receiving insulin or oral hypoglycemic agents should be cautioned about these possibilities. Hypoglycemia should be used with caution. In congestive heart failure patients, there is a risk of worsening hypoglycemia. CAUTIONS).

**Thyrotoxicosis:**  $\beta$ -adrenergic blockade may mask signs of hyperthyroidism, such as tachycardia. Withdrawal of  $\beta$ -blockade may be followed by an increase in the symptoms of hyperthyroidism or may cause a thyroid storm.

**PRECAUTIONS****General**

Since Coreg (carvedilol) has  $\beta$ -blocking action, it should not be discontinued abruptly, particularly in patients with ischemic heart disease. Instead, it should be tapered over 1 to 2 weeks.

In clinical trials, Coreg caused bradycardia in 1.8% of hypertensive patients and 9% of congestive heart failure patients. If pulse rate drops below 55 beats/min, the dose should be reduced.

Hypotension and postural hypotension occur in 3.4% of congestive heart failure patients taking carvedilol compared to 3.6% and 2.5% of placebo patients, respectively. The risk for these events during the first 30 days of dosing, corresponds to the titration period and was a cause for discontinuation in 0.7% of carvedilol patients, compared to 0.2% of placebo patients.

Postural hypotension occurred in 1.8% and 2.5% of hypertensive patients, primarily following initiation of therapy or at the time of dose increase and was a cause for discontinuation in 1% of patients.

To decrease the likelihood of syncope on initiation of therapy, treatment should be initiated with 3.125 mg in congestive heart failure patients and 6.25 mg in hypertensive patients. Dosage should be increased slowly according to recommendations in the ADMINISTRATION section, and the drug should be taken with food. During initiation of therapy, patients should be cautioned to avoid situations such as those tasks, where injury could result if they fall.

Rarely, use of carvedilol in patients with congestive heart failure has resulted in deterioration of renal function. Patients at risk appear to be those with hypertension (systolic BP <100 mm Hg), ischemic heart disease, peripheral vascular disease, and/or underlying renal disease. Renal function has returned to baseline after discontinuation of carvedilol and the drug discontinued if worsened of renal function occurs.